| Citation | **4.46 Provider Screening and Enrollment** |
|---|---|
| 1902(a)(77)<br>1902(a)(39)<br>1902(kk);<br>P.L. 111-148 and<br>P.L. 111-152 | The State Medicaid agency gives the following assurances: |
| 42 CFR 455<br>Subpart E | PROVIDER SCREENING<br>__X__ Assures that the State Medicaid agency complies with the process for screening providers under section 1902(a)(39), 1902(a)(77) and 1902(kk) of the Act. |
| 42 CFR 455.410 | ENROLLMENT AND SCREENING OF PROVIDERS<br>__X__Assures enrolled providers will be screened in accordance with 42 CFR 455.400 et seq.<br><br>__X__Assures that the State Medicaid agency requires all ordering or referring physicians or other professionals to be enrolled under the State plan or under a waiver of the Plan as a participating provider. |
| 42 CFR 455.412 | VERIFICATION OF PROVIDER LICENSES<br>__X__Assures that the State Medicaid agency has a method for verifying providers licensed by a State and that such providers licenses have not expired or have no current limitations. |
| 42 CFR 455.414 | REVALIDATION OF ENROLLMENT<br>__X__Assures that providers will be revalidated regardless of provider type at least every 5 years. |
| 42 CFR 455.416 | TERMINATION OR DENIAL OF ENROLLMENT<br>__X__Assures that the State Medicaid agency will comply with section 1902(a)(39) of the Act and with the requirements outlined in 42 CFR 455.416 for all terminations or denials of provider enrollment. |
| 42 CFR 455.420 | REACTIVATION OF PROVIDER ENROLLMENT<br>__X__ Assures that any reactivation of a provider will include re-screening and payment of application fees as required by 42 CFR 455.460. |
| 42 CFR 455.422 | APPEAL RIGHTS<br>__X__Assures that all terminated providers and providers denied enrollment as a result of the requirements of 42 CFR 455.416 will have appeal rights available under procedures established by State law or regulation. |

42 CFR 455.432

SITE VISITS

__X__ Assures that pre-enrollment and post-enrollment site visits of providers who are in "moderate" or "high" risk categories will occur, as required by § 455.432 .

42 CFR 455.434

CRIMINAL BACKGROUND CHECKS

__X__ Assures that providers, as a condition of enrollment, will be required to consent to criminal background checks including fingerprints, if required to do so under State law, or by the level of screening based on risk of fraud, waste or abuse for that category of provider.

42 CFR 455.436

FEDERAL DATABASE CHECKS

__X__ Assures that the State Medicaid agency will perform Federal database checks on all providers or any person with an ownership or controlling interest or who is an agent or managing employee of the provider.

42 CFR 455.440

NATIONAL PROVIDER IDENTIFIER

__X__ Assures that the State Medicaid agency requires the National Provider Identifier of any ordering or referring physician or other professional to be specified on any claim for payment that is based on an order or referral of the physician or other professional.

42 CFR 455.450

SCREENING LEVELS FOR MEDICAID PROVIDERS

__X__ Assures that the State Medicaid agency complies with 1902(a)(77) and 1902(kk) of the Act and with the requirements outlined in 42 CFR 455.450 for screening levels based upon the categorical risk level determined for a provider.

42 CFR 455.460

APPLICATION FEE

_____ Assures that the State Medicaid agency complies with the requirements for collection of the application fee set forth in section 1866(j)(2)(C) of the Act and 42 CFR 455.460.

42 CFR 455.470

TEMPORARY MORATORIUM ON ENROLLMENT OF NEW PROVIDERS OR SUPPLIERS

__X__ Assures that the State Medicaid agency complies with any temporary moratorium on the enrollment of new providers or provider types imposed by the Secretary under section 1866(j)(7) and 1902(kk)(4) of the Act, subject to any determination by the State and written notice to the Secretary that such a temporary moratorium would not adversely impact beneficiaries' access to medical assistance.